



APPLICATION REQUEST FOR CHANGE OF CLIENT STATUS

To: Laietana Ltd

Date:

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Client Name:	
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Account Number (“my Account”):	
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I, the undersigned Client, categorised by Laietana Ltd (hereinafter, the “Company”) as a **Retail Client** according to the “Client Categorisation Policy” of the Company and the Provision of Investment Services, the Exercise of Investment Activities, the Operation of Regulated Markets and Other Related Matters Law 87(I)/2017 (“the Law”), hereby request, on my own initiative, to be treated by the Company Ltd as a **Professional Client** (please choose (✓) one of the following):

1. for all future transactions and investment services

2. in respect of a particular investment service or transaction, or type of transaction or product

Please describe: _____

In respect of my request to be treated as a Professional Client, I will undertake the fitness test below (page 2) for the Company’s internal assessment. I understand and agree to provide the Company with any further information and supportive documentation requested for my assessment.

I hereby confirm that I have read, understood and agree with the provisions of the “Client Categorisation Policy” made available by the Company on its website and fully acknowledge that Professional Clients enjoy a lower level of protection as compared to Retail Clients and I am fully aware of the consequences of losing such protections.



Fitness Test:

Note: Fitness Test is to be undertaken by Retail Clients who wish to be treated as Professional, as per the “Client Categorisation Policy” found on the Company’s website. In case the Fitness Test shall be undertaken by an authorised Representative of the Client, who shall be authorised to operate the Client’s account, then points 1 and 3 below are directly addressed to the authorised Representative.

1. Did you carry out transactions in significant size on a relevant financial market at an average of ten (10) transactions per quarter over the previous four (4) quarters?

_____ (YES/NO, add as appropriate)

If your answer is ‘yes’ please specify the relevant financial market, type of financial instrument and the approximate size of transactions. _____

2. Does the size of your portfolio (cash deposits and financial instruments) exceed EUR 500.000? _____ (YES/NO, add as appropriate)

3. Do you work or have you worked in the financial sector for a period of at least one (1) year in a professional position, which requires knowledge of the transactions or service(s) envisaged. _____ (YES/NO, add as appropriate)

Declaration

I hereby declare that all information provided above are, to the best of my knowledge and belief, true, accurate, correct and complete.

I undertake to inform the Company of any change in circumstances which might affect the assessment of this form or cause the information contained herein to become incorrect, incomplete or inapplicable and to provide the Company with a suitably updated Declaration of such change in circumstances.

Full name of Client:

Signature: